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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Richard L. Sandor

Title: EMISSION REDUCTION TRADING SYSTEM AND METHOD

Appl. No.: Unknown

Filing Date: July 18, 2003

Examiner: Unknown

Art Unit: Unknown

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Richard L. Sandor  
1301 North Astor Street  
Chicago, Illinois 60610

Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

Specification, Claim(s), and Abstract (58 pages).

Formal drawings (14 sheets, Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14).

Information Disclosure Statement.

Form PTO-1449 with copies of 7 listed reference(s).

The filing fee is calculated below:

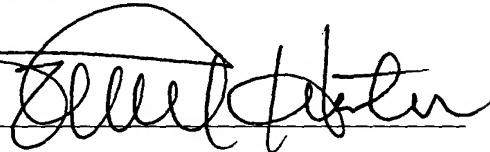
	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$750.00	\$750.00
Total Claims:	36	- 20	= 16	x \$18.00	\$288.00
Independents:	7	- 3	= 4	x \$84.00	\$336.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	\$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	\$130.00
				SUBTOTAL:	\$1504.00
[ X ]	Small Entity Fees Apply (subtract ½ of above):			=	\$752.00
1				TOTAL FILING FEE:	\$752.00

- [ ] A check in the amount of \$0.00 to cover the filing fee is enclosed.
- [ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [ ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-2350. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-2350.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

By



Paul S. Hunter  
Attorney for Applicant  
Registration No. 44,787

Date July 18, 2003

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PATENT TRADEMARK OFFICE

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard L SANDOR

Title: **EMISSION REDUCTION TRADING SYSTEM AND METHOD**

Docket No.: 026532-0103

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

"Express Mail" Mailing Label No.: EV 237870986 US

Date of Deposit: July 18, 2003

I hereby certify that these attached documents

- \* Utility Patent Application Transmittal (2 pages)
- \* Utility Patent Application (72 total pages: Specification, 50 pages; Drawings 14 pages; Claims, 7 pages; Abstract, 1 page)
- \* Information Disclosure Statement (2pages)
- \* Form PTO 1449 (1 pages and Seven (7) cited references).
- \* Return Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Enclosed for filing please find the above-referenced utility patent application. Please indicate receipt of this utility patent application by returning the attached postcard with the official Patent and Trademark Office receipt stamped thereon.

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